IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of: Sadato IMAI et a Art Unit: 2879 Application No.: 10/637,516 Examiner: Won Bumsuk Filed: September 30, 2003 Washington, D.C. For: PLANAR LIGHT EMITTING DIODE WITH SPANSE AND BACK LIGHT UNIT (As Attv.'s Docket: IMAI=12 amended) Confirmation No.: 1654 Customer Service Window, Mail Stop Amendment Date: November 2, 2005 Honorable Commissioner for Patents U.S. Patent and Trademark Office Randolph Building, 401 Dulany Street Alexandria, Virginia 22314 Sir: Transmitted herewith is a PRELIMINARY AMENDMENT AND REMARKS in the above-identified application.] Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27. No additional fee is required. **IXXI** The fee has been calculated as shown below: (Col. 2) (Col. 1) (Col. 3) SMALL ENTITY OTHER THAN SMALL ENTITY CLAIMS HIGHEST NO PRESENT RATE **ADDITIONAL** OR **ADDITIONAL** RATE REMAINING **PREVIOUSLY EXTRA** FEE FEE PAID FOR AFTER **FOUALS** AMENDMENT TOTAL MINUS 20 n 25 \$ 50 \$ INDEP MINUS 0 100 \$ 200 \$ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM 180 \$ \$ 360 ADDITIONAL FEE TOTAL \$ OR TOTAL \$ If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3. If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space. If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed. [XX] Conditional Petition for Extension of Time If any extension of time for a response is required, applicant requests that this be considered a petition therefor. It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below: Small Entity Other Than Small Entity Response Filed Within Response Filed Within First - \$ 60.00 \$ 120.00 1 Second -\$ 225.00 \$ 450.00 Third - \$ 510.00 \$ 1020.00 [] \$ 795.00 [] Fourth Fourth \$ 1590.00 Month After Time Period Set Month After Time Period Set [] Less fees (\$ ___) already paid for ____ month(s) extension of time on Please charge my Deposit Account No. 02-4035 in the amount of \$

overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees

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A check in the amount of \$

under 37 CFR §1.18.

Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of §

is attached (check no.).

The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: IMAI=12

In re Application of:

Sadato IMAI et al

Appln. No.: 10/637,516

Filed: September 30, 2003

For: PLANAR LIGHT EMITTING
DIODE WITH SHIELDING
AND BACK LIGHT UNIT (As amended)

REPLY: AMENDMENT AND REMARKS

Customer Service Window, Mail Stop Amendment
Honorable Commissioner for Patents
U.S. Patent and Trademark Office
Randolph Building
401 Dulany Street
Alexandria, Virginia 22314

Sir:

Replying to the Office Action mailed August 2, 2005, please amend as follows:

Amendment to the Title begin on page 2 of this paper.

Amendments to the Abstract begin on page 3 of this paper

Amendments to the Specification begin on page 4 of this paper

Amendments to the Claims are reflected in the listing of claims which begins on page 5 of this paper.

Remarks/Arguments begin on page 8 of this paper.

Amendment to the Title:

Please replace title of the invention with the following amended title:

LIGHT EMITTING DIODE AND BACK LIGHT UNIT -- PLANAR
LIGHT EMITTING DIODE WITH SHIELDING AND BACK LIGHT UNIT--